FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	DC	20549
vvasilington,	D.O.	20070

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average I	ourden						
hours per response:							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					UI	Sec	11011 30(11)	or the in	vesime	it Coi	lipally Act of	1940							
	Address of R	eporting Person*					r Name an Nova PI			ling S	ymbol		(Che	elationship o ck all applica	able)	g Person(s) to Issu		
(Last) (First) (Middle)							of Earliest	Transac	tion (Mo	onth/C	Day/Year)	7	Officer (give title below) Other (spe						
20 EASTBOURNE TERRACE					03/30/2023									CEO					
(Stroot)							4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing Line)												
(Street) LONDON	X0	7	W2 6LG	_							3	X Form filed by One Reporting Person Form filed by More than One Reporti				ing			
(City) (State) (Zip)					Ru	Rule 10b5-1(c) Transaction Indication													
						Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
		Tab	le I - No	n-Deri	vativ	e Se	ecurities	s Acq	uired,	Dis	posed of,	or Bene	ficially	Owned					
1. Title of Security (Instr. 3)				Date	2. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			Beneficia Owned Fo	es ally ^F ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	irect li direct E	'. Nature of ndirect Beneficial Ownership	
									Code	v	Amount	(A) or (D)	Price	Reported Transacti (Instr. 3 a	on(s)			Instr. 4)	
Ordinary S	Shares			03/30/2023					M		3,213	A	\$0 ⁽¹⁾	105	05,882				
Ordinary Shares			03/3	30/202	3			F		1,511(2)	D	\$42.71	104,371		l D				
Ordinary Shares			03/3	03/30/2023				M		8,607	A	\$0 ⁽¹⁾	112,978		D				
Ordinary Shares			03/3	03/30/2023				F		4,046 ⁽²⁾ D		\$42.71	108,932		D				
Ordinary Shares			03/30/2023		3			M		5,119	A	\$0 ⁽¹⁾	114,051		D				
Ordinary S	hares			03/3	30/202	3			F		2,406(2)	D	\$42.71	111	,645	D			
Ordinary Shares			03/3	03/30/2023				M		4,571	A	\$0 ⁽¹⁾	116,216		D				
Ordinary Shares 03/2				30/202	0/2023			F 2,149 ⁽²⁾ D		D	\$42.71	1 114,067		D					
		-	Table II -								osed of, o			Owned					
1. Title of Derivative Security (Instr. 3) 2. Conversior or Exercise Price of Derivative Security		3. Transaction Date (Month/Day/Year)	Execution if any	3A. Deemed Execution Date, if any (Month/Day/Year)		ctior Instr.			6. Date Exercis Expiration Date (Month/Day/Yea		ate Amount of		f G Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transacti	e Ownersh s Form: ally Direct (D or Indire g (I) (Instr.	wnership orm:	Beneficial Ownership ct (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)	Sin(e)			
Restricted Stock Units	(3)	03/30/2023			M			3,213	(4))	(4)	Ordinary Shares	3,213	\$0	0 ⁽⁵⁾		D		
Restricted Stock Units	(3)	03/30/2023			M		8,607		(6)		(6)	Ordinary Shares	8,607	\$0	8,606	(5)	D		
Restricted Stock Units	(3)	03/30/2023			M			5,119	(7)		(7)	Ordinary Shares	5,119	\$0	\$0 10,238 ⁽⁵⁾		D		
Restricted Stock Units	(3)	03/30/2023			M			4,571	(8)		(8)	Ordinary Shares	4,571	\$0	13,712	2(5)	D		
Restricted Stock Units	(9)	03/30/2023			A		38,416		(10)		(10)	Ordinary Shares	38,416	\$0	38,416		D		
Performance Stock Units	(11)	03/30/2023			A		19,208		(12)	(12)	Ordinary Shares	19,208	\$0	19,208		D		
Performance Stock Units	(11)	03/30/2023			Α		19,208		(13)	(13)	Ordinary Shares	19,208	\$0	19,20)8	D		

Explanation of Responses:

(11)

\$42.71

03/30/2023

03/30/2023

Performance Stock Units

Appreciation Rights

Stock

1. Reporting person had vested restricted stock units (RSUs) settled in ordinary shares of LivaNova PLC (the Company), GBP 1.00 par value.

A

- 2. The referenced shares were withheld from distribution at the request of reporting person to satisfy tax liability.
- 3. Each RSU represents a contingent right to receive one ordinary share of the Company, GBP 1.00 par value, in accordance with the terms of the LivaNova PLC 2015 Incentive Award Plan (the Plan) and the award agreement.

(14)

(15)

(14)

(15)

38,416

81,613

Shares

Ordinary

\$<mark>0</mark>

\$0

38,416

81,613

D

D

4. On March 30, 2019, reporting person was granted RSUs subject to a four-year vesting schedule, the first vesting for which occurred on March 30, 2020. The RSUs are subject to forfeiture prior to vesting in accordance with the terms of the Plan and the award agreement.

38,416

81,613

5. This number reflects the number of derivative securities beneficially owned following reported transaction for this specific grant.

- 6. On March 30, 2020, reporting person was granted RSUs subject to a four-year vesting schedule, the first vesting for which occurred on March 30, 2021. The RSUs are subject to forfeiture prior to vesting in accordance with the terms of the Plan and the award agreement.
- 7. On March 30, 2021, reporting person was granted RSUs subject to a four-year vesting schedule, the first vesting for which occurred on March 30, 2022. The RSUs are subject to forfeiture prior to vesting in accordance with the terms of the Plan and the award agreement.
- 8. On March 30, 2022, reporting person was granted RSUs subject to a four-year vesting schedule, the first vesting for which occurred on March 30, 2023. The RSUs are subject to forfeiture prior to vesting in accordance with the terms of the Plan and the award agreement.
- 9. Each RSU represents a contingent right to receive one ordinary share of the Company, GBP 1.00 par value, in accordance with the terms of the LivaNova PLC 2022 Incentive Award Plan (the 2022 Plan) and the award agreement.
- 10. On March 30, 2023, reporting person was granted RSUs subject to a four-year vesting schedule, the first vesting occurring on March 30, 2024. The RSUs are subject to forfeiture prior to vesting in accordance with the terms of the 2022 Plan and the award agreement.
- 11. Each performance stock unit (PSU) represents a contingent right to receive one ordinary share of the Company in accordance with the terms of the 2022 Plan and the award agreement.
- 12. On March 30, 2023, reporting person was granted PSUs to vest or lapse on March 30, 2026 based on how the Company's free cash flow (FCF) for performance period 2023-2025 compares to a target determined by the 2022 Plan Administrator. The number included in column 5 of Table II reflects the target number of PSUs eligible for vesting subject to continued service during the vesting period and the award agreement.
- 13. On March 30, 2023, reporting person was granted PSUs to vest or lapse on March 30, 2026 based on how the Company's Return on Investment Capital (ROIC) calculated for the performance period 2023-2025 compares to a target determined by the 2022 Plan Administrator. The number included in column 5 of Table II reflects the target number of PSUs eligible for vesting subject to continued service during the vesting period and the award agreement.
- 14. On March 30, 2023, reporting person was granted PSUs to vest or lapse on March 30, 2026 based on the the Company's total shareholder return (TSR) for the three-year period beginning on January 1, 2023 and ending December 31, 2025 relative to the total shareholder return of a peer group of companies, as determined by the 2022 Plan Administrator. The number included in column 5 of Table II reflects the target number of PSUs eligible for vesting subject to continued service during the vesting period and the award agreement.
- 15. On March 30, 2023, reporting person was granted stock appreciation rights (SARs) subject to a four-year vesting schedule, the first vesting occurring on March 30, 2024. The SARs are subject to forfeiture prior to vesting in accordance with the terms of the 2022 Plan and the award agreement.

Remarks:

<u>/s/ Sarah K. Mohr, POA</u> <u>03/30/2023</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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